

#3

FORMALITIES LETTER



OC00000005097411

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/518,989	03/03/2000	Charles Piskoti	IB-1366

Henry P Sartorio
Lawrence Berkeley Nat'l Lab Patent Dept
One Cyclotron Road MS 90 1121
Berkeley, CA 94720



Date Mailed: 05/05/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

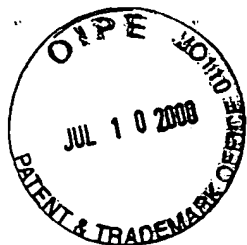
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/518989
07/13/2000 KZEWDIE 00000010 120690
01 FC:201 345.00 CH
02 FC:205 65.00 CH



(3) Sactor #3

IB-1366

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles Piskoti et al.
Ser. No.: 09/518,989
Filed: 03/03/00
For: Carbon Based Thirty Six Atom Spheres

Group No: 1621
Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents and Trademarks
Washington, DC 20231

In response to the Notice to File Missing Parts dated 05/05/00, copy attached, the Commissioner is hereby authorized to charge **half** the indicated fees (\$410) to Deposit Account No. **12-0690**. (A duplicate of this letter is enclosed for fee processing.) A small entity statement (for the assignee) is also submitted herewith.

A declaration in compliance with 37 CFR 1.63 signed by all the inventors, identifying the application by Serial Number and Filing Date, is submitted herewith.

Also enclosed is an assignment by the inventors to assignee, with recordation cover sheet (in duplicate, including authorization to charge the \$40 fee to the deposit account).

A copy of the filing receipt with changes, adding an inventor's middle initial, updating the residence of two inventors, and claiming priority to a provisional application, is also enclosed.

Respectfully submitted,

Henry P. Sartorio
Attorney for Applicant
Reg. No. 28,535

Dated: July 3, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

July 5, 2000

Signed:

Henry P. Sartorio
HENRY P. SARTORIO

FILING RECEIPT

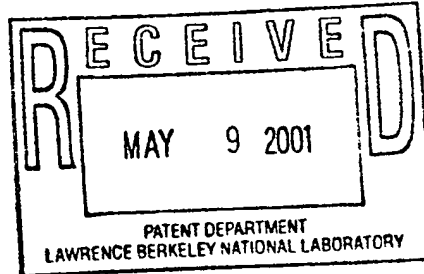


OC00000005097410

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/518,989	03/03/2000	1621	0	IB-1366	9	9	3

Henry P Sartorio
Lawrence Berkeley Nat'l Lab Patent Dept
One Cyclotron Road MS 90 1121
Berkeley, CA 94720



Date Mailed: 05/05/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

R.
Charles Piskoti, ~~Oakland, CA~~; *Fenton, MI*
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Continuing Data as Claimed by Applicant

This Appln Claims Benefit of 60/123,112 03/05/1999
Foreign Applications

If Required, Foreign Filing License Granted 05/04/2000

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Title

Carbon based thirty six atom spheres

Preliminary Class

260